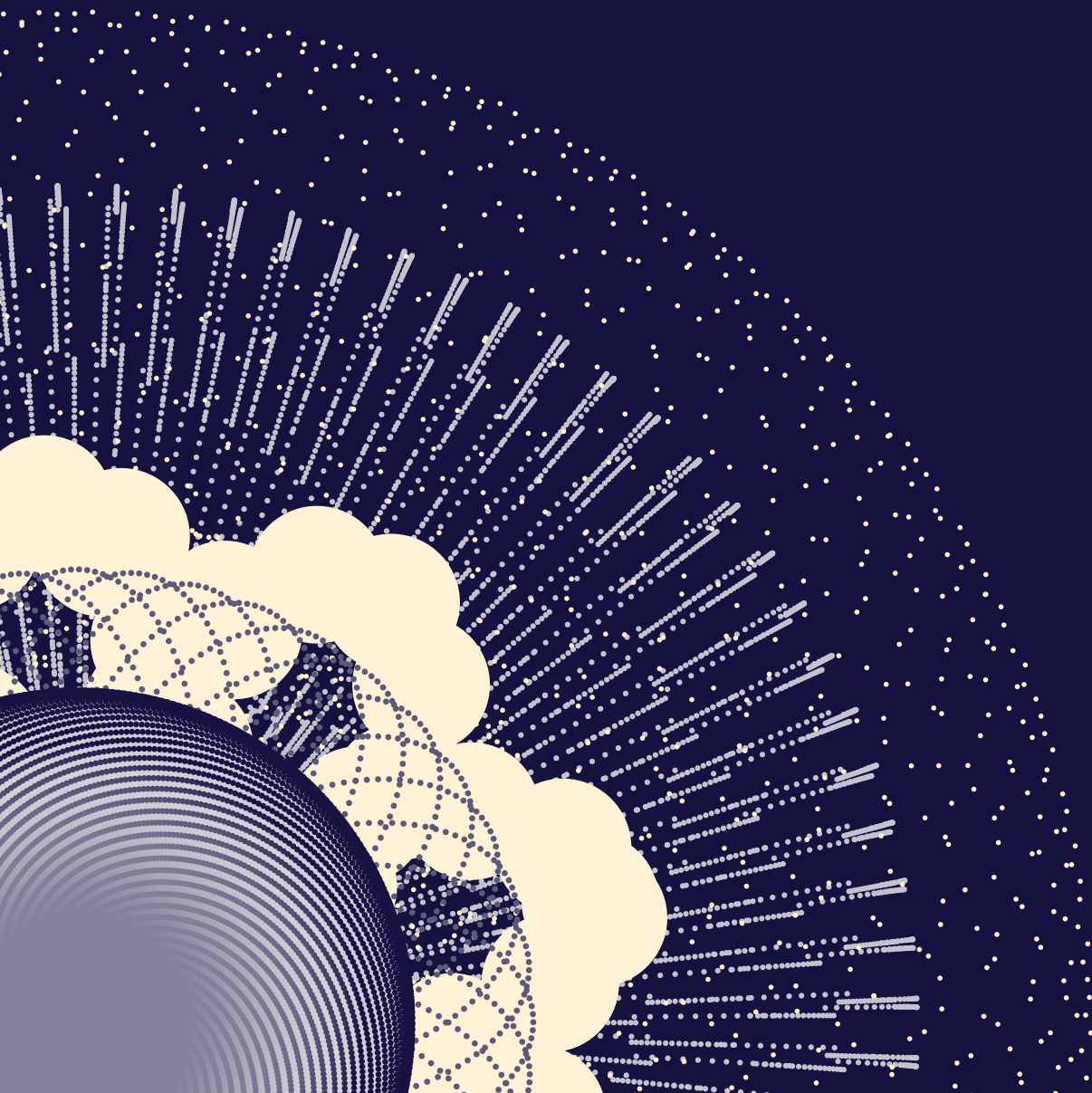


chapman tripp
consulting



Supporting our clients
to succeed

The regulatory and business environment continues to change rapidly. Businesses are facing increased scrutiny from regulators, coupled with change in what customers and stakeholders are expecting, sometimes unrealistically. Working with our clients to help them focus on strategic and emerging risk issues is one of the most important ways we can help them succeed.



Taking risk is a part of business. Managing risk well protects people, brand reputation and identifies opportunities for better ways of working.

How we help our clients



Asking the right questions

It is more important than ever that clients understand the risks they face. We ask:

- Are you dealing efficiently with regulatory and compliance burdens?
- Can you maximise the opportunities the current environment offers?
- Are your governance structures fit for purpose, reflecting a need to be both agile and accountable to a growing stakeholder base?



Going beyond compliance

Our experts go beyond just identifying what an organisation must do to comply with the law.

We work with clients to help identify how to provide protection for all stakeholders, and build sustainable business growth.

Our consulting team help to manage all non-financial risk, and ease the regulatory and compliance burden through a four-stage process:

1. identification
2. assessment
3. control, and
4. monitoring and reporting.



Building resilience against adversity

We can help put crisis management plans in place, or review any current plans to ensure they remain fit for purpose.

In the event of a crisis, we work with clients to ensure they respond, recover and identify new opportunities – in the best way possible.



Thinking about the future

Developing a plan to identify and understand the risks faced by the business (including personal obligations for Directors), then working to proactively embrace and influence them (building better processes), helps to ensure our clients are well positioned – to identify how they can work smarter, and to build sustainable growth in their business.

How we can help your business

We partner with boards, CEOs and management teams to develop strategies and processes across four key, often interlinked areas.



Governance

Governance and compliance go hand in hand but it's important to recognise the distinct roles. Governance will determine your company's attitude towards business practices and appetite for risk. Compliance will ensure this attitude and appetite sit within the law. We provide guidance on best practice for each role and tailor an approach that works for your business.

Our expertise covers:

- Board-level advisory
- Business continuity
- Crisis management
- Director's and officer's liability
- Key risk identification: likelihood and impact
- Navigating the Companies Act including Directors and Company Secretary obligations
- Risk appetite, framework and key risk policies



Risk and controls

You need to ensure you have appropriate controls in place to manage your company's appetite for risk. This requires regular monitoring of your risk exposure, and having processes in place to demonstrate compliance to regulators. We will help you apply lessons from the past to ensure better ways of working in the future.

Our expertise covers:

- Climate change
- Conflicts of Interest
- Control design and testing
- Data privacy and cybersecurity
- Employment obligations
- Finance
- Fraud and financial crime (AML and anti-bribery)
- Health and safety
- Impact of current and emerging legislation
- Non-disclosure agreements (clients and suppliers)
- Professional conduct
- Risk registers
- Social media
- Supply chain risks
- Tax

“The strength of our team is the breadth of our expertise. There is real value for clients to turn to one provider who can offer that broader legal and consulting advice” – Bevan Miles



Culture and conduct

Determining and communicating what your business stands for is essential in the current environment, especially with the ease and speed of communication in today's world. An inclusive and positive culture reduces reputational and other risk. We work with you to deliver insight, to build strength and to help turn risk into opportunity for your business.

Our expertise covers:

- Conduct risk, controls and risk culture
- Ethical conduct
- Key performance indicators (establishment and measurement)
- Navigating and understanding sector Acts for example the Reserve Bank of New Zealand Act 1989
- Reputational risk
- Remuneration strategies



Regulatory

We will help you efficiently manage all regulatory compliance requirements at both a national and international level.

Our expertise covers:

- Advice on regulatory requirements at both a national and international level
- Implementation plans for new legal/regulatory requirements
- Proactive and trusted relationships with the regulator/regulatory bodies at board level and throughout the business
- Regulatory investigations
- Reduce the risk of regulatory oversights
- Terms of agreements

About us

We're really proud to be the first large law firm in New Zealand to offer consulting expertise as part of our broader legal services. Our team of leading lawyers advise a number of the country's major corporates and public sector entities, across all sectors in the domestic and international market.

We partner with boards, CEOs and executive teams to develop strategies to ease regulatory burden across their business. We understand every organisation is different, with varying levels of risk exposure and appetite for risk. The knowledge and experience of our team allows us to tailor our approach for our clients' particular issue or issues. Where required, we bring in external experts to deliver a seamless experience providing legal, compliance, risk, technology and public relations expertise.

Our team

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Chapman Tripp is a dynamic and innovative commercial law firm at the leading edge of legal practice. With offices in Auckland, Wellington and Christchurch, the firm supports clients to succeed across industry, commerce and government. Chapman Tripp is known as the 'go to' for complex, business-critical strategic mandates across the full spectrum of corporate and commercial law. Chapman Tripp's expertise covers mergers and acquisitions, capital markets, banking and finance, restructuring and insolvency, Māori business, litigation and dispute resolution, employment, health and safety, government and public law, privacy and data protection, intellectual property, media and telecommunications, real estate and construction, energy, environmental and natural resources, and tax.

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